

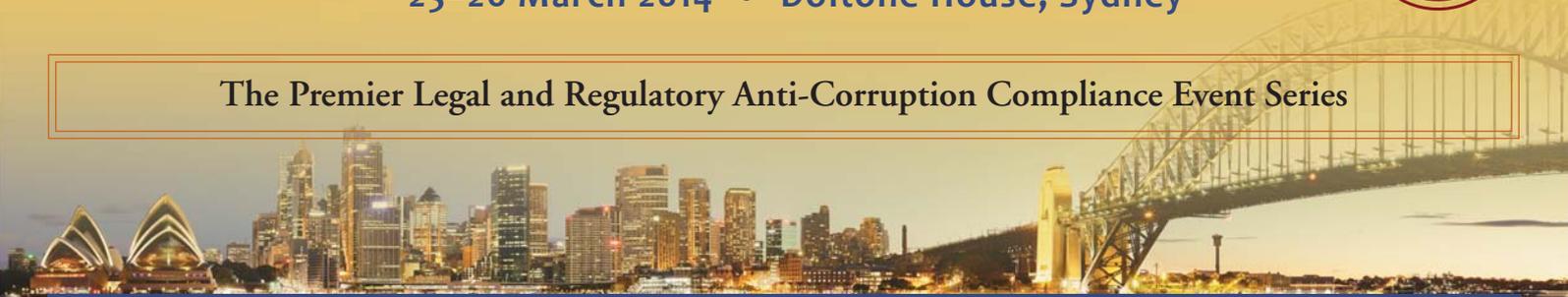
2nd Annual Forum on

ANTI-CORRUPTION AUSTRALIA

25-26 March 2014 • Doltone House, Sydney

130+
Attendees
in 2013

The Premier Legal and Regulatory Anti-Corruption Compliance Event Series



Keynote Speakers

- | | | |
|--|---|--|
|  The Honourable Terence Cole AO RFD |  Nick McKenzie
Investigative Reporter
Fairfax Media |  Sam Koim
Chairman
Papua New Guinea Task Force Sweep |
|--|---|--|

Global Regulatory & Enforcement Insight

- Australian Federal Police
Attorney-General's Department
- U.S. Securities & Exchange Commission (live video)
- U.S. Department of Justice (invited)

Conference Co-Chairs

- | | |
|--|--|
|  Mark de Carvalho
Senior Manager -
Ethics & Business Conduct,
Asia Pacific, Lockheed Martin |  Robert Wyld
Partner
Johnson Winter & Slattery |
|--|--|

Benchmark your Anti-Corruption Risk Management and Compliance Strategies with over 25 Outstanding Speakers and Senior Compliance Experts:

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| BAE Systems |  Medical Technology Association of Australia |
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|  Johnson & Johnson | University of Sydney Business School |
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| | Woodside Energy |

Up to 18.0 CPD

Senior government and industry decision-makers will provide the latest insights into effective compliance strategies and the current enforcement landscape, including how to:

- Detect commercial bribery schemes, high risk structures, hidden parties and questionable transactions
- Apply the right level of due diligence to **vet and monitor agents, brokers and intermediaries**
- Build **audits** into your anti-corruption compliance programme
- Strengthen your **internal accounting controls** amid tightened books and records enforcement
- Conduct effective **internal investigations** into bribery allegations
- Implement effective anti-corruption controls for your **joint ventures**
- Adopt an anti-corruption **governance model** to ensure adequate reporting and accountability
- Detect weaknesses before the authorities do through **enhanced anti-bribery systems** of controls and testing
- Prevent and **mitigate bribery risks** in international offsets

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NEW Hands-On and Interactive Working Groups:

- A** Facilitation Payments, Offering or Receiving Gifts, Hospitality, Entertainment, Charitable Donations and Sponsorship: Practical Steps for Minimising Risks of Improper Expenditure
- B** Demystifying the Intersection of the Australian Criminal Code, the US Foreign Corrupt Practices Act and UK Bribery Act: A Look into Key Concepts, Requirements, High Profile Investigations and What it All Means for Australian Companies

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The Enforcement of Anti-Corruption Laws is Here to Stay

Be Ready to Demonstrate that Your Compliance Programme is Dynamic

The cost of corruption can destroy a company. \$800 million fine against Siemens, \$597 million fine against Halliburton, and most recently, \$398 million fine against Total. Think your company is safe from the Foreign Corrupt Practices Act, the UK Bribery Act and the Australian Criminal Code? Think again. Local and foreign authorities are taking a stance against corruption involving Australian companies, as seen in the recent US-Australian investigation into alleged bribery against BHP Billiton in connection with its sponsorship of the 2008 Olympics in Beijing. Meanwhile, the RBA note printing scandal continues to grow and Leighton faces a class action over bribery allegations.

Companies across the globe must re-assess and fortify their anti-corruption compliance programmes to maintain compliance during a time of increasing investigations and profit destroying fines. It is imperative that your company carries out thorough due diligence, provides robust training, implements watertight compliance procedures and maintains comprehensive books and records. This year's conference has been designed with the new and evolving compliance expectations in mind.

Uncompromising, relevant and hard-hitting, dispensing the latest insights, best practice and 'need to know' information, the 2014 agenda delivers unrivalled opportunities for practical learning, knowledge sharing and benchmarking

Now in its 2nd year, C5's Forum on Anti-Corruption Australia delivers advanced and practical insights on the latest anti-bribery and corruption enforcement initiatives, global anti-corruption compliance strategies and benchmarking opportunities for the anti-corruption compliance community.

Take away practical compliance insights and implementable tools to avoid costly investigations and prosecutions. Learn how Australia's leading multi-nationals are revisiting their risk profiles and doing more focused reviews on areas of exposure. Industry leaders will share practical guidance on how to test compliance programmes, detect whether they have properly captured bribery and corruption risks and set up adequate procedures and controls to mitigate risks within specific industry sectors.

NEW interactive discussions and real-time lessons on:

- Implementing audit right clauses into your third party and intermediaries relationships to ensure constant and effective monitoring
- Anti-corruption crisis management: How to prepare your response from start to finish
- Developing a culture of corporate compliance from board level to the ground
- Deciphering the fine line between gifts, bribes and donations and designing procedures to make sure your employees don't cross that line
- Best practices for preventing fraud inside your company
- Top strategies for maintaining adequate books and records that will hold up to any government investigation
- Do's and don'ts for conducting internal audits and investigations and how to respond when you spot something wrong

Reserve your place at this invaluable conference today! Register now by calling +44 (0) 20 7878 6922, by faxing your registration form to +44 (0) 20 7878 6885 or by registering online at www.C5-Online.com/ACAustralia.

Complete and refine your conference experience with these acclaimed Interactive Working Groups on March 24:

A Facilitation Payments, Offering or Receiving Gifts, Hospitality, Entertainment, Charitable Donations and Sponsorship: Practical Steps for Minimising Risks of Improper Expenditure

B Demystifying the Intersection of the Australian Criminal Code, the US Foreign Corrupt Practices Act and UK Bribery Act: A Look into Key Concepts, Requirements, High Profile Investigations and What it all Means for Australian Companies

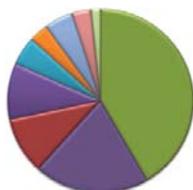
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Over **50%** Corporate Attendance from 13 Industries in 2013



- Manufacturing
- Pharmaceutical
- Accounting & Finance
- Mining
- Oil & Gas
- Engineering
- Retail
- Transport
- Government
- Legal
- Retail
- Insurance
- Academic

Representation across Australia from **6** States and **3** Neighbouring Countries in 2013



- NSW
- Victoria
- Western Australia
- Queensland
- South Australia
- Australian Capital Territory
- New Zealand
- Singapore
- USA

A Must-Attend Event for:

- Ethics & Compliance Officers
- Vice Presidents and Directors of:
 - Corporate Responsibility
 - International Contracts
 - Corporate Compliance
 - Legal Affairs
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MEET THE EXPERT FACULTY

Conference Co-chairs:



Mark de Carvalho
Senior Manager - Ethics & Business Conduct
Asia Pacific, Lockheed Martin



Robert Wyld
Partner
Johnson Winter & Slattery



The Honourable Terence Cole AO RFD QC



Nick McKenzie
Investigative Reporter
Fairfax Media

Government Speakers:



Nicholas McTaggart
Detective Superintendent, Criminal Assets Confiscation
Taskforce, Serious & Organised Crime,
Australian Federal Police



Thierry Olivier Desmet
Assistant Director, FCPA Unit, Enforcement Division,
U.S. Securities & Exchange Commission (live video)



Charles E. Duross
Deputy Chief, FCPA Unit, Fraud Section, Criminal Division
U.S. Department of Justice (invited)

Speaker TBC
Attorney-General's Department

Forum Faculty:



Michael Ahrens
Executive Director
Transparency International Australia



Bob Ansell
Manager, Compliance (Cluster Leader - Indonesia, ANZ)
Phillip Morris



Mark J. Asplund
Regional Counsel, Global Law Affairs, ASeA Region
Boeing



Jarrod Baker
Director
KordaMentha Forensic



Geoff Bell
Chief Risk & Compliance Officer
Innovia Security



Adam Bennett
Group Counsel Investigations
Rio Tinto



David Chaikin
Barrister & Associate Professor
University of Sydney Business School



Peter Connor
Senior Director, Global Compliance
Citrix Systems International



Paul Curby
Executive Director
KordaMentha Forensic



Richard N. Dean
Partner
Baker & McKenzie



Steven Fleming
Partner
Jones Day



Kathryn Higgs
Senior Ethics & Compliance Officer
Parsons Brinckerhoff



Adam Hing
Director of Integrity Risk, Australia Pacific,
Control Risks



Alina Hughes
Code of Practice Manager
Medical Technology Association of Australia



Paul Humphries
Anti-Fraud & Corruption Adviser,
Woodside Energy



Bernard Lankes
Associate General Counsel Asia Pacific
Oracle



Chris McCann
Assistant Vice President
Chief Risk Officer – Asia Pacific Zone, Chubb Insurance



Maureen McGrath
General Counsel Corporate & Compliance
Westfield Corporate Legal



Jennifer Mew-Sum
Senior Compliance Specialist
Medtronic Australasia



Christian Paech
General Counsel
Santos



Dr Stuart Palmer
Head of Ethics Services
St James Ethics Centre



Blair Parker
Head of Legal, Oceania/South Pacific Region
DHL



Ian Parsons
Corporate Legal Counsel
GE Healthcare



Peter Quinlivan
Senior Legal Counsel
BAE Systems Australia Defence



Simon Sproule
Group Manager – Investigations & Fraud Prevention,
Spotless Group Limited



Neville Tiffen
Principal
Neville Tiffen & Associates
(former Global Head of Compliance, Rio Tinto)



Mini vandePol
Partner
Baker & McKenzie



Gregory Waddoups
Director of Legal Affairs
Raytheon



Michelle Wagner
ASPAC Compliance Lead
Johnson & Johnson



Jessie Yap
Chief Compliance Counsel
Asia Pacific and General Counsel, ANZ, Covidien



Good opportunity to benchmark and compare compliance programs
Senior Manager, Corporate Compliance, Biogen Idec

Reassuring to see that many compliance challenges are the same across different businesses **HCC Lead Officer, Johnson & Johnson**

Excellent at providing a forum to discuss Anti-Corruption issues in Australia
Manager, AML, Bribery and Corruption, ANZ Banking Group



9am–12pm

A **Facilitation Payments, Offering or Receiving Gifts, Hospitality, Entertainment, Charitable Donations and Sponsorship: Practical Steps for Minimising Risks of Improper Expenditure****Mini vandePol**

Partner, Baker & McKenzie

This interactive and practical working group session will go beyond high level understanding of global anti-corruption laws to identify concrete tools and tactics for avoiding the pitfalls presented by gift giving, entertainment and hospitality. Attendees will receive practical guidance on how to create a robust and culturally sensitive and credible approach to gifts, travel and entertainment. This workshop will include an interactive session on how to decide, document, and review decisions relating to the gifts, travel and entertainment, including:

- The status of a facilitation payment under UK, US and Australian anti-corruption legislation
- What are the essential points of the Hospitality Guideline?
 - Specific rules for “wining and dining” and invitations to events
 - How should this guide be used to shape policies for global operations?
- Best practice examples for scholarships, sponsorships and events
- Getting through road blocks in Africa and Asia
- Payment requests for communities in the oil and gas sector
- Handling payments requested under duress: Protection payments
- Drafting a global compliance policy which can account for cultural nuances which may exist in certain regional business operations
 - Practical solutions for ensuring that the policy is implemented on the ground whilst acknowledging certain business realities in specific regions
- Case scenario: Status of sponsorship of a company which receives government grants – is this an improper payment?
 - What is the impact if the sponsorship results in a business relationship 6 – 12 months later?
- How realistic is it to expect implementation of a global gifts and payments policy in all areas of the world?

1:30pm–4:30pm

B **Demystifying the Intersection of the Australian Criminal Code, the US Foreign Corrupt Practices Act and UK Bribery Act: A Look into Key Concepts, Requirements, High Profile Investigations and What it All Means for Australian Companies****Richard N. Dean**

Partner, Baker & McKenzie

Steven Fleming

Partner, Jones Day

This comprehensive Working Group will provide you with the fundamentals of the Australian Criminal Code, the Foreign Corrupt Practices Act (FCPA) and the UK Bribery Act and the most high profile cases. Gain a deeper understanding of anti corruption and anti-bribery offences, exceptions and exemptions under each statute, internal controls requirements and record keeping. By the time you complete this workshop you will be able to identify where your compliance policies and procedures need updating and how to minimise risks.

- Who is covered under the various Acts?
- Key bribery offences: “offering or giving bribes”, “soliciting or receiving bribes”, “bribing a foreign public official (FPO)”, “failure to prevent bribery by commercial organisation”
- Scope and application of general bribery offences/foreign public officer (FPO) offence
 - What/who is covered?
 - Acts/omissions outside the UK and US but by persons with a “close connection” to the UK and US
- Scope and application of corporate offence
 - UK corporations and partnerships
 - Foreign corporations carrying on business in the UK and US
 - Extraterritorial reach: persons performing services for or on behalf of commercial organisation
- Permissible and impermissible payments
- What are considered ‘adequate procedures’ and when can they be used as a defence?
- Known triggers of government anti-bribery investigations and what you can do to avoid them
- Books and records requirements
- Internal controls of inaccuracies and public disclosure under the FCPA and the UK Bribery Act
- Fundamentals of an FCPA compliance programme
- What industries are prosecutors focusing on in 2014?
- What cases have recently settled
 - Common terms of settlement agreements

MAIN FORUM DAY ONE: 25 MARCH 20148:15 **Registration and Coffee**8:45 **Opening Remarks from the Chairs****Mark de Carvalho**

Senior Manager - Ethics & Business Conduct, Asia Pacific, Lockheed Martin

Robert Wylde

Partner, Johnson Winter & Slattery

9:00 **OPENING KEYNOTE ADDRESS:****Corruption and Its Consequences**

The Honourable Terence Cole AO RFD QC

9:45

PANEL DISCUSSION: Compliance Officers Discuss Emerging Anti-Corruption Concerns, Issues on the Horizon and Best Practices for Detecting and Preventing Corruption and Fraud in the Asia Pacific Region**Moderator:****Mini vandePol**

Partner, Baker & McKenzie

Mark J. Asplund

Regional Counsel, Global Law Affairs, ASeA Region, Boeing

Maureen McGrath

General Counsel Corporate & Compliance, Westfield Corporate Legal

Jennifer Mew-Sum

Senior Compliance Specialist, Medtronic Australasia

Bernard Lankes

Associate General Counsel Asia Pacific, Oracle

- Reassessing the risks faced by your company based on geographic scope, business model, business sector and other factors
- Incorporating the standards set by the FCPA UK Bribery Act into an existing compliance programme while maintaining its coherence
- How do you factor in the anti-corruption compliance requirements and standards of other countries
- Key priorities of the compliance officer in multinationals
 - Top 5 specific compliance measures to focus on
 - Monitoring how the measures change and evolve
- New perspective on ensuring comprehensive reporting, clear accountability and effective oversight by top decision makers
- What schemes and transactions should trigger immediate suspicion: the line between local grey practices and bribery
- Identifying hidden red flags
- Tailoring anti-corruption compliance programmes in different countries to maintain compliant business operations

10:45 **Morning Refreshments**

11:15 **“Tone at the Top”: Creating a Culture of Compliance and Integrity that Drives Your Organisation from Top to Bottom**

Dr Stuart Palmer

Head of Ethics Services, St James Ethics Centre

Peter Quinlivan

Senior Legal Counsel, BAE Systems Australia Defence

Kathryn Higgs

Senior Ethics & Compliance Officer,

Parsons Brinckerhoff

Neville Tiffen

Principal, Neville Tiffen & Associates

(former Global Head of Compliance, Rio Tinto)

A narrower definition of integrity focuses on an absence of fraud and corruption. A broader definition understands integrity as alignment between what an organisation says it stands for and what it does in practice. To protect against corruption it is important to design and implement initiatives which support both the narrower and broader conceptions of integrity. Why is this important and what does it mean in practice? How do you develop a culture of compliance and trust within an organisation whilst balancing commercial realities in a competitive market?

- Incorporating corporate values and principles alongside control, surveillance and audit approaches to managing the risk of corrupt conduct
- Translating values and principles into day to day operations and behaviour
- How to get the board on board: building a case to get senior management buy-in for a strict anti-corruption compliance programme
 - What to include in your business case: how to show that you can stay competitive even when other industry players are willing to pay bribes and facilitation payments
 - Working with your compliance team to present solutions to conflicts between local practices and local and foreign anticorruption regulations
- Creating corruption and bribery risk awareness throughout your entire organisation: how to build a unifying ethical framework across diverse businesses and cultures
- What does leadership look like in organisations of integrity?

- Encouraging employees to step forward without fear of reprisal
- Monitoring and measuring integrity

12:15 **KEYNOTE ADDRESS: Inside the RBA Note-Printing Bribery Allegations**

Nick McKenzie

Investigative Reporter, Fairfax Media

1:00 **Transparency International Australia Address: Update on the Latest Corruption Perceptions Index**

Michael Ahrens

Executive Director, Transparency International Australia

1:15 **Networking Lunch**

2:15 **Implementing an Effective Anti-Corruption Compliance and Fraud Training Programme for Your Employees**

Adam Hing

Director of Integrity Risk, Australia Pacific, Control Risks

Alina Hughes

Code of Practice Manager,

Medical Technology Association of Australia

Jessie Yap

Chief Compliance Counsel,

Asia Pacific and General Counsel, ANZ, Covidien

Blair Parker

Head of Legal, Oceania/South Pacific Region, DHL

Peter Connor

Senior Director, Global Compliance,

Citrix Systems International

- Key elements to include in an effective training programme: how to go beyond reciting the law to drive home the importance of acting ethically... and the consequences of not acting ethically
 - Cases, examples and scenarios that actually work
 - Effectiveness of internal vs. external training
- Putting your training resources where they will be most effective: how to vary the frequency and intensity of training according to risk levels, level of authority, etc.
- Best practices for training employees in remote locations without compliance and legal teams being present
 - Pros and cons of online training and other virtual training tools
- Providing guidance on reporting suspected violations (including protected direct channels to pass the information onto)
- Providing advice, training and recommendations to third parties: what are the risks?
- How to test the effectiveness of your training
 - Remedial measures to take when training has proved ineffective
- How often to retrain employees and third parties?

3:15 **Afternoon Refreshments**

3:45 **How to Test the Effectiveness of Your Compliance Programmes and Detect Weaknesses Before the Authorities Do**

Moderator

Paul Curby

Executive Director, KordaMentha Forensic

Michelle Wagner

ASPAC Compliance Lead, Johnson & Johnson



Chris McCann

Assistant Vice President, Chief Risk Officer –
Asia Pacific Zone, Chubb Insurance

Bob Ansell

Manager, Compliance (Cluster Leader - Indonesia, ANZ)

Phillip Morris

- Monitoring tools that leading companies use to maintain a sustainable global anti-corruption programmes
- Setting up compliance checks against your existing compliance programmes
- Determining how often compliance audits should take place according to your company's specific risk profile
- Leveraging internal controls to detect corrupt payments and minimise the risk of books and records violations
- How to develop an effective reporting and document retention structure within your organisation to minimise the risk of violations
 - What your system of fraud risk internal controls needs to accomplish
 - How fraud controls and financial internal controls intersect
 - Key controls to detect improper payments and fraud: what enforcement agencies will expect you to have in your files
 - Streamlining and integrating payment systems to easily see where, why and how much money is being spent
 - Document retention policies that work and those that do not
- Types of "books and records" violations: Examples of journal entries that have led to a violation
- What is the right way to record facilitation payments?

4:45

CASE STUDY: Innovia and the Banknote Ethics Initiative

Geoff Bell

Chief Risk & Compliance Officer, Innovia Security

In this insightful case study, hear first-hand how Innovia Security (formerly Securrency) responded to bribery allegations and charges, how the impact of the allegations and proceedings was managed, and what they have done to strengthen their compliance program since. With a focus on evolving trends and innovations in anti-corruption, hear how the banknote industry has established for its participants a unique ethics and integrity benchmark in the fight against corruption.

5:30

Forum Adjourns

NETWORKING DRINKS

At the end of the day, share a drink with your legal and compliance peers in an informal setting that encourages open and frank discussion and sharing of experiences.



MAIN FORUM DAY TWO: 26 MARCH 2014

8:15

Registration and Coffee

8:45

Opening Remarks from the Chair

9:00

The US Foreign Corrupt Practices Act Guidance and Current FCPA Prosecutions: How Global Enforcement is Impacting Companies Operating in Australia

Thierry Olivier Desmet

Assistant Director, FCPA Unit, Enforcement Division,
U.S. Securities & Exchange, Commission (live video)

Charles E. Duroso

Deputy Chief, FCPA Unit, Fraud Section, Criminal Division,
U.S. Department of Justice (invited)

Richard N. Dean

Partner, Baker & McKenzie

- Review of high profile FCPA cases of the past twelve months
 - What the latest cases reveal about the US DOJ and SEC global enforcement priorities
 - What lessons should be learned to improve your compliance programmes?
- Industry sectors and commercial practices under investigation
- Latest developments in the interpretation and scope of the FCPA – judicial decisions concerning the meaning of foreign government official and the "knowledge" requirement
- What is the significance of the Dodd-Frank whistle blower provisions?: experience to date and what to anticipate going forward
- Update on the SEC's enquiry on private equity companies and their dealings with sovereign wealth funds
- The increasing trend in criminal indictment of high level corporate executives

10:00

Anatomy of a Corruption Investigation: What The Authorities Will Expect & How You Need to Respond

Steven Fleming

Partner, Jones Day

Jarrold Baker

Director, KordaMentha Forensic

- Common triggers for the commencement of an investigation: what sources of information are most commonly used and relied upon?
- Managing and responding to a multi-jurisdictional investigation: what and what not to do in the first 2 weeks
- Structuring your response: the importance of a multi-disciplinary investigation team
- What enforcement authorities will expect you to have on file
- Educating your employees on critical aspects of the investigation (e.g. document preservation)
- How privacy laws impact your internal investigations
- How to implement concrete steps to ensure the attorney client privilege is protected
- When to bring in external auditors
- Best practices for remaining flexible during the investigation when focus and protocols shift focus

11:00

Morning Refreshments

11:30

Assessing and Managing Third Party Risks: Due Diligence, Auditing and Monitoring Contractors, Distributors and Agents

Gregory Waddoups

Director of Legal Affairs, Raytheon

Christian Paech

General Counsel, Santos

Ian Parsons

Corporate Legal Counsel, GE Healthcare

- Defining the scope of your third party relationships and the level of due diligence and monitoring for each type – agents, vendors, suppliers, brokers, freight forwarders and other commercial intermediaries
- Key elements to include when rolling out a third party due diligence programme
 - Key areas to screen, including internal controls, ownership, sales, marketing, and tax compliance
 - Red flags to look out for before engaging with third parties
- How do you go about determining ownership of the third party?: Conducting risk analysis to identify foreign government or foreign official ownership interests



- Evaluating industry reputation and professional record: how to obtain conclusive and accurate data related to business partners or individual agents in a remote and non-competitive market
- Taking a risk based approach to due diligence – Negotiating, drafting and executing robust contracts with third parties: best practice contractual safeguards to incorporate in all contracts and agreements with third parties
- Audit right clauses
- Top tips for continuously monitoring your business partners to ensure that they don't engage in corrupt business after you enter into a third party relationship

12:10 Keynote Address

Sam Koim

Chairman, Papua New Guinea Task Force Sweep

12:45 Networking Lunch

1:45 Top Tips for Conducting Effective Internal Audits and Investigations and Responding to the Findings

Simon Sproule

Group Manager – Investigations & Fraud Prevention, Spotless Group Limited

Adam Bennett

Group Counsel Investigations, Rio Tinto

Paul Humphries

Anti-Fraud & Corruption Adviser, Woodside Energy

- Benchmarking your internal audit procedures:
 - What to look for
 - How often to carry out internal audit
 - Pros and cons of hiring external auditors
- Best procedures for carrying out a thorough and meaningful internal investigation with an organisation once a potential violation is identified
 - What issues need to be addressed at the beginning of an investigation, including local restrictions?
 - What documentation needs to be requested?
- How to manage gathered information during an internal investigation to ensure its not leaked
- Techniques for investigating employee behaviour and lifestyle without violating local privacy laws
- Best practices for conducting an employee interview: how to ensure you get the information you need without violating any local laws
- How and when to report findings to local and/or foreign regulators
- Lessons learned from the Oxford University Press case: benefits of voluntarily disclosing wrong doings

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Anti-Corruption Australia Experts: Networking Group

and

Anti-Corruption Experts: Networking Group

2:45

Afternoon Refreshments

3:00

How Anti-Money Laundering Systems Are Used to Combat Financial Crimes

Nicholas McTaggart

Detective Superintendent, Criminal Assets Confiscation Taskforce, Serious & Organised Crime Australian Federal Police

David Chaikin

Barrister & Associate Professor, University of Sydney Business School

The full potential of anti-money laundering regimes (AML) as an anti-corruption tool is yet to be realised. With AML and anti-corruption job functions becoming increasingly intertwined in the finance sector, the spread of international AML systems has created new opportunities for companies to deter and detect corruption, and to recover illicit assets stored abroad. How can your company harmonise financial intelligence and anti-corruption capabilities to improve its internal compliance procedures?

- Harnessing AML systems to combat corruption
- Financial Action Task Force (FATF) Standards
- Know Your Customer (KYC) rules and procedures
- Monitoring procedures to detect suspicious transactions in light of KYC information
- Overcoming obstacles to external accountability
- Predicate offences, Politically Exposed Persons and money laundering
- Freezing and confiscation of illicit profits

4:00

CLOSING KEYNOTE ADDRESS: Attorney-General's Department

Speaker TBC

Attorney-General's Department

Anti-corruption update from Australia's central criminal justice policy authority.

4:45

Chairs Closing Remarks and End of Forum

Supporting Sponsor:

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In today's global market, having a multijurisdictional approach to compliance is essential. Compliance problems are rarely confined to one country or one issue. When the government arrives at your door, you need a law firm that can quickly coordinate cross-border internal investigations to determine the scope of the problem and mobilize to protect you. With longstanding offices in key financial centers and emerging markets, Baker & McKenzie lawyers are already on the ground, ready to tackle high-stakes investigations from all legal angles. We connect our clients to the knowledge and resources of our 72 offices in 45 countries. Regardless of location, our lawyers are locally trained and qualified, speak the local language and are connected to local networks.

Associate Sponsors:

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From pre-acquisition due diligence to transaction advice to internal investigations and corporate defense, Jones Day is at the forefront of anti-corruption advice and defense.

Jones Day acts for clients on almost every continent on the largest, most sensitive and urgent matters including those involving Australia companies.



Whatever the factual, accounting, financial or eDiscovery issues that arise, KordaMentha Forensic's expertise and experience can bring clarity of thought, objectivity and clear communication of ideas to help find the facts, understand the relevant issues and clarify the financial and other impacts in a cost-effective way.

We have unique experience in conducting anti-corruption engagements across a wide variety of industries in over 30 countries around the globe. The diversity of this experience ranges from compliance role at a Fortune 100 company.

working on some of the most high-profile foreign bribery investigations, to being in an in-house anti-corruption compliance role at a Fortune 100 company.

With over 50 specialised forensic team members in Australia and Singapore, and through our international network, KordaMentha Forensic provides a seamless global response. www.kordamentha.com/forensic

Session Sponsor:

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Control Risks is a global risk consultancy specialising in political, security and integrity risk. The company enables its clients to understand and manage the risks of operating in complex or hostile environments. Through a unique combination of services, wide geographical reach and by adopting a close partnership approach with clients, Control Risks helps organisations effectively solve problems and realise new opportunities across the world www.controlrisks.com

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25-26 March 2014 • Doltone House, Sydney



Business Information
In A Global Context

The Premier Legal and Regulatory Anti-Corruption Compliance Event Series

Priority Service Code

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5 EASY WAYS TO REGISTER



WEBSITE: www.C5-Online.com/ACAustralia



REGISTRATIONS & ENQUIRIES
+44 20 7878 6922



EMAIL: registrations@C5-Online.com



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PLEASE RETURN TO
C5, Customer Service
6th Floor, Trans-World House, 100 City Road
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FEE PER DELEGATE	Register & Pay by Dec 20, 2013	Register & Pay by Feb 14, 2014	Register & Pay after Feb 14, 2014
<input type="checkbox"/> ELITEPASS*: Forum & Both Working Groups	AUD \$2695 + 10% GST = \$2964.5	AUD \$2845 + 10% GST = \$3129.5	AUD \$2995 + 10% GST = \$3294.5
<input type="checkbox"/> Forum & Working Group <input type="checkbox"/> A or <input type="checkbox"/> B	AUD \$2445 + 10% GST = \$2689.5	AUD \$2595 + 10% GST = \$2854.5	AUD \$2745 + 10% GST = \$3019.5
<input type="checkbox"/> Forum Only	AUD \$1845 + 10% GST = \$2029.5	AUD \$1995 + 10% GST = \$2194.5	AUD \$2145 + 10% GST = \$2359.5
<i>TEAM DISCOUNTS – Register at the same time: 4 delegates = 25% Forum Discount 3 delegates = 15% Forum Discount 2 delegates = 10% Forum Discount</i>			

*ELITEPASS is recommended for maximum learning and networking value.

DELEGATE DETAILS

NAME _____ POSITION _____

APPROVING MANAGER _____ POSITION _____

ORGANISATION _____

ADDRESS _____

CITY _____

POSTCODE _____ COUNTRY _____

PHONE _____ FAX _____

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FOR MULTIPLE DELEGATE BOOKINGS PLEASE COPY THIS FORM

PAYMENT DETAILS

Event Code: 604L14-SYD

BY CREDIT CARD

Please charge my AMEX VISA MasterCard Discover Card

NUMBER _____ EXP. DATE _____

CARDHOLDER _____

BY CHEQUE

I have enclosed a cheque for AUD \$ _____ made payable to C5

BY BANK TRANSFER

C5 Communications Limited

Account Name: C5 Communications Limited

Bank Name: HSBC BANK Plc

Bank Address: 31 Chequer Street, St Albans Herts AL1 3YN, UK

Bank Branch: St Albans Branch

BIC (Bank Identifier Code): MIDLGB22

GBP Account (VAT num: 913 0992 30)

IBAN: GB41 MID L 4040 0182 1816 22

Sort Code: 40-40-01

If you wish to pay in Euros€, GBP£ or USD\$ please contact Customer Service

ADMINISTRATIVE DETAILS

Date: March 24 – 26, 2014

Time: 8.15 – 6.00

Venue: Doltone House

Address: 181 Elizabeth Street, NSW 2000, Level 3

Telephone: +61 (0)2 9361 8400

To book your accommodation please contact the hotel directly.



DOCUMENTATION IS PROVIDED BY WEBLINK

The documentation provided at the event will be available on weblink only. If you are not able to attend, you can purchase an electronic copy of the presentations provided to delegates on the day of the event. Please send us this completed booking form together with payment of AUD \$500 + 10% GST = \$550 per copy requested. For further information please call +44 (0) 207 878 6888 or email enquiries@C5-Online.com.

CONTINUING EDUCATION

12 hours (conference only) plus 3 hours per master class towards Continuing Professional Developments hours (Solicitors Regulation Authority). Please contact C5 for further information on claiming your CPD points.

PAYMENT POLICY

Payment is due in full upon your registration. Full payment must be received prior to the event otherwise entry will be denied. All discounts will be applied to the Main Conference Only fee (excluding add-ons), cannot be combined with any other offer, and must be paid in full at time of order. Group discounts available to individuals employed by the same organisation.

TERMS AND CONDITIONS

You must notify us by email at least 48 hours in advance if you wish to send a substitute participant. Delegates may not "share" a pass between multiple attendees without prior authorisation. If you are unable to find a substitute, please notify C5 in writing no later than 10 days prior to the conference date and a credit voucher will be issued to you for the full amount paid, redeemable against any other C5 conference. If you prefer, you may request a refund of fees paid less a 25% service charge. No credits or refunds will be given for cancellations received after 10 days prior to the conference date. C5 reserves the right to cancel any conference for any reason and will not be responsible for airfare, hotel or any other costs incurred by attendees. No liability is assumed by C5 for changes in programme date, content, speakers or venue.

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