



## MINING FOR SUSTAINABLE DEVELOPMENT

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### CATALYSING CHANGE THROUGH MULTI-STAKEHOLDER DIALOGUE AND COLLECTIVE ACTION

Mineral endowments have been a developmental blessing for many countries the world over. At the same time, an estimated 680 million people in resource-rich countries still live on less than USD 2 per day.

For these people, wealth in mineral resources has failed to deliver what it has promised: **sustainable economic and social development that benefits the many, not only a few**. Opacity and a lack of mutual trust have contributed to an environment that does not enable multi-stakeholder dialogue and action. But **change is possible**, and a better future is within reach.

#### Overcoming the 'Resource Curse'

In recent years, **demands for greater accountability** of the mining sector and stakeholder commitment to transparent and accountable operations have gained momentum. Some encouraging progress has been made:

- the number and ambition of voluntary and mandatory regulatory frameworks is growing;
- disclosure listing rules are on the increase;
- enforcement of foreign bribery laws is being strengthened;
- governments, mining companies, industry associations, bi- and multilateral institutions and civil society increasingly recognise multi-stakeholder engagement as key to a successful mining sector.

Efforts to stimulate debate on how a country's natural resources should be managed have to date largely focused on revenue transparency, calling for disclosure of contracts and government income from the extractives sector.

This work is still important. But **the problem needs to be tackled at its roots**: a lack of transparency and accountability in the first stage of the mining value chain when decisions about mining approvals are made.

#### Mining for Sustainable Development

Led by TI Australia, Transparency International's 'Mining for Sustainable Development' programme seeks to enhance the contribution of mining to sustainable economic and human development through a focus on enhanced **transparency and accountability in awarding mining-related permits, licences and contracts** across a range of national jurisdictions.

The programme will engage with a wide range of actors to create an enabling environment, and to positively influence practices in the mining sector. Participating TI Chapters and their local partners will work together to support:

- **civil society and affected communities** in accessing, interpreting and monitoring information and decisions relating to the allocation of mining permits, licences and contracts;
- **operating companies** and their affiliates in adopting, implementing and enforcing relevant international transparency and accountability standards; and
- **governments** in adopting, enabling and enforcing effective transparency and accountability policies, procedures and practices.

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"Mining offers the opportunity to catalyse broad-based economic development, reduce poverty and assist countries in meeting internationally agreed development goals." (UN General Assembly, Res. 66/288, July 2012)

## Creating Change

Building greater knowledge and understanding of the risks and impact of corruption at the permits, licensing and contracts stage of the mining value chain will be a critical first step to tackle the 'resource curse'.

In a 2-year multi-stakeholder consultation process, TI has developed a **global change framework** to help address this challenge.

Within this framework, country-level projects will focus on **expanding context-specific knowledge** through targeted research, risk mapping and multi-stakeholder dialogue. TI Chapters will take the lead in strengthening local partnerships and stakeholder relationships, **building an evidence base** for raising awareness of key challenges among relevant stakeholders and **creating a climate of trust and mutual accountability** to:

- encourage **changes in policy and behaviour** of government and industry stakeholders;
- develop **practical solutions** that set in motion a change in practice, and help close critical accountability gaps;
- create **space for monitoring** the implementation and enforcement of agreed transparency and accountability standards; and
- positively impact the mining sector's **contribution to long-term economic and human development**.

The 'Mining for Sustainable Development' programme draws on existing global tools and frameworks as a resource for national-level work, adapting them as necessary to ensure the relevance and value-added of interventions. Exchange of knowledge and lessons learned among TI Chapters and other stakeholders will provide valuable insights for replication of 'good practice', and for coordinated advocacy at a global level.

### Phased Approach

The programme will initially run over five years.

**Phase I:** TI Chapters in approx. 20 countries are conducting institutional and context analysis at the national level. Country-specific analyses include an inventory of national-level permit, licensing and contract negotiation frameworks and practices, a stakeholder map and context analysis, and a corruption risk map. This foundational analysis will provide the evidence necessary to then develop, in a multi-stakeholder process, in-depth country action plans.

National level reports and actions plans will also inform, together with a global stakeholder mapping and analysis, a global advocacy strategy.

**Phase II:** Based on national multi-stakeholder action plans and a global advocacy strategy, activities in Phase II will focus on influencing changes in policy as well as

the attitude and behaviour of stakeholders to increase transparency and accountability in awarding mining sector permits, licences and contracts.

National activities will support ongoing interventions at the global level – strengthening existing international initiatives and standards, ensuring the programme's value-added and maximising the scale of impact.

### Sustainable Impact

Change in policy and behaviour has a high potential for creating sustainable impact, enabling:

- **governments** to create the space for improved sustainability of their country's economic, social and environmental development;
- a level playing field for **business** through better governance and corruption control systems;
- **companies** to enhance relations with their beneficiaries for improved social investment; and
- strengthened engagement of **civil society** and community organisations to demand people's rights and improved development outcomes.

Tools and approaches that are developed through the programme will be available for continued use by industry, government and civil society stakeholders.

### One Movement, One Vision

The 'Mining for Sustainable Development' programme is a TI global thematic network initiative coordinated by **TI Australia** with the support of the TI Secretariat. National-level work is led by **local TI Chapters**.

**Partners within the rapidly growing extractives governance space** include governments, mining companies, national and international industry associations, relevant global and regional institutions and initiatives, national and international NGOs, and local communities/ community-based organisations.

### How to Engage

Phase I of the Programme is currently supported by the BHP Billiton Foundation and the Australian Department of Foreign Affairs and Trade (DFAT). Governments, industry stakeholders, foundations and international institutions are invited to join a multi-donor portfolio funding arrangement in support of future implementation.

**Your contribution will help us provide support to millions of people** who do not yet benefit from their country's natural resource wealth.

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