Partner Screening Procedure

Purpose

TIA is committed to ensuring that all funds managed by TIA are expended responsibly in achieving our aim of a world free of corruption.

As such, we will undertake the following processes for all organisations and individuals with whom we enter into funding relationships.

This includes all formal partners, corporate partners and grants recipients. It does not include TI Australia members and single or regular giving individuals.

Principles

- TIA works to combat corruption by working collaboratively with businesses, government agencies, parliamentarians, nongovernment organisations, the media and community groups to close the loopholes that enable corruption. We acknowledge that we are in the unusual position of working closely with industries that create the problems we aim to address (i.e., corruption-prone sectors including banking, infrastructure and mining), while those same industries have the opportunity to create the reforms and solutions needed to combat corruption.
- We work in mutually beneficial ways that prioritise shared objectives, two-way exchange of information and resources shared.
- We review the effectiveness of our partnerships to ensure our work continually develops and enhances our strategic objectives, and to continuously learn, adapt and improve.
- We acknowledge the due diligence processes we undertake to ensure our mission remains paramount.

Due diligence questionnaire

All formal partners must complete the Due Diligence Questionnaire to establish organisational and fiduciary information, compliance with our Anti-Corruption and Compliance Policies and Risk Management.

Corporate membership evaluation questionnaire

Due to higher levels of potential risk all corporate members must undertake the Corporate Membership Evaluation Questionnaire.

Anti-corruption measures

TIA uses the World Bank Listing of Ineligible Firms & Individuals to screen all formal partners, including both an organisation search as well as individual searches on:

- All Board Members
- Executive Director
- Primary Programme Contact/Coordinator

World Bank Listing of Ineligible Firms & Individuals

https://www.worldbank.org/en/projects-operations/procurement/debarred-firms

Anti-terrorism measures

TIA screens all formal partners on both the Attorney General's Listing of Terrorist Organisations and the Australia Department of Foreign Affairs Consolidated List to the same level as above.

Process:

- Sub-grant agreements contain screening requirements, and TIA explains these to all formal partners when preparing the agreement.

- All formal partners are screened prior to the first grant being made, and on an annual basis thereafter.
- All new formal partners over AUD100,000 are screened prior to entering a contractual relationship.
- TIA documents that screening has been completed including the dates of the reporting period; the number of suppliers, partners and other entities that have been screened; and either:
    - That no matches have been identified; or if a match has been identified, the following information:
        - The date the match was identified
        - The name/s with a positive match
        - The actions taken to validate or check the match
- TIA commits to communicate the results of confirmed matches to DFAT.
- In these instances, TIA will immediately freeze any funding and if appropriate cease any funding relationship with the organisation.

Attorney General's Listing of Terrorist Organisations
https://www.nationalsecurity.gov.au/Listedterroristorganisations/Pages/default.aspx

Australia Department of Foreign Affairs Consolidated List
http://dfat.gov.au/international-relations/security/sanctions/Pages/consolidated-list.aspx#list

Related Documents

- Procurement Policy
- Anti-Fraud and Anti-Corruption Policy
- Recruitment and Selection Policy
- Ethical Collaboration and Partnerships Policy
- Corporate Evaluation Questionnaire

About this Procedure

Title	Partner Screening Procedure
Owner	CEO
Version	2
Date of Approval	October 2024
Next review date	October 2026